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Effect of Ge-doping on the short-wave, mid- and far-infrared intersubband transitions in GaN/AlGaN heterostructures

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Abstract

This paper assesses the effects of Ge-doping on the structural and optical (band-to-band and intersubband) properties of GaN/AlGaN multi-quantum wells designed to display intersubband absorption in the short-wave, mid- and far-infrared ranges (SWIR, MIR, and FIR, respectively). The standard *c*-plane crystallographic orientation is considered for wells absorbing in the SWIR and MIR spectral regions, whereas the FIR structures are grown along the nonpolar *m*-axis. In all cases, we compare the characteristics of Ge-doped and Si-doped samples with the same design and various doping levels. The use of Ge appears to improve the mosaicity of the highly lattice-mismatched GaN/AlN heterostructures. However, when reducing the lattice mismatch, the mosaicity is rather determined by the substrate and does not show any dependence on the dopant nature or concentration. From the optical point of view, by increasing the dopant density, we observe a blueshift of the photoluminescence in polar samples due to the screening of the internal electric field by free carriers. In the intersubband absorption, on the other hand, there is a systematic improvement of the linewidth when using Ge as a dopant for high doping levels, whatever the spectral region

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3 under consideration (i.e. different quantum well size, barrier composition and
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6 crystallographic orientation).

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9 Keywords: GaN, AlGa_N, quantum well, intersubband, nonpolar, doping, Ge

10 11 12 13 14 15 16 17 **1. Introduction**

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19 GaN/AlGa_N nanostructures have shown their potential for the development of new
20 intersubband (ISB) optoelectronic devices, with the possibility to cover almost the whole
21 infrared (IR) spectrum [1,2]. Their large conduction band offsets and sub-picosecond ISB
22 relaxation times support the development of ultrafast photonic devices operating at
23 telecommunication wavelengths [3–5]. Additionally, the large energy of the longitudinal-
24 optical phonon in GaN (92 meV, 13 μm) opens prospects for THz quantum cascade lasers
25 operating at room-temperature [6,7]. GaN is transparent for most of the IR spectral region,
26 namely for wavelengths longer than 360 nm, except for the Reststrahlen band (phonon
27 absorption band) located between 9.6 and 19 μm. Using *c*-plane GaN/AlN quantum wells
28 (QWs), the ISB absorption can be tuned in the 1.0–3.5 μm wavelength range by changing
29 the QW thickness from 1 nm to 7 nm [8–12]. To shift the absorption towards longer
30 wavelengths, it is necessary to reduce the polarization-induced internal electric field in the
31 QWs, which can be attained by using ternary AlGa_N barriers with reduced Al mole fraction.
32 Varying the geometry and composition of the barriers, the ISB absorption can be tailored to
33 cover the range up to 10 μm [13–18]. Reducing the ISB transition energy below 60 meV
34 (wavelength > 20 μm) requires further band engineering to compensate the internal electric
35 field in the QWs, which is only achieved by implementation of complex multi-layer QW
36 designs [19–22]. The use of nonpolar crystallographic orientations, particularly the *m*-plane,
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3 is a promising alternative to obtain GaN/AlGaN QWs without internal electric field [23–25].
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6 The development of ISB devices requires a fine tuning of the n-type doping density,
7 particularly in photodetectors and switches, where the first quantum-confined energy level of
8 the conduction band has to be populated to allow electron transitions to higher levels. To
9 measure ISB transitions in GaN/AlN QWs, large n-type doping densities ($N_D > 1 \times 10^{18} \text{ cm}^{-3}$)
10 are required. The charge density has a significant impact on the transition energy and
11 linewidth due to many-body effects such as depolarization shift and exchange interaction, as
12 well as scattering by ionized impurities [8,23,26–29]. Furthermore, such doping
13 concentrations can introduce strain, eventually leading to structural defects [30] or roughness
14 at the heterointerfaces [31], which constitutes an additional source of carrier scattering. In
15 general, studies of ISB transitions in III-nitrides have been performed using Si as n-type
16 dopant, even though it is known that it introduces tensile strain which can lead to a structural
17 degradation [30]. Ge is considered as an alternative n-type dopant, with improved
18 performance in comparison to Si for doping levels in excess of 10^{19} cm^{-3} [32–35]. Thanks to
19 its ionic radius and metal-nitrogen bond length similar to that of Ga, the Ge occupancy of a
20 Ga lattice site is expected to induce far less lattice distortions compared with Si.
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42 In this paper, we assess the effect of Ge doping on the structural quality, band-to-band
43 and ISB properties of polar *c*-plane GaN/AlGaN multi-quantum-wells (MQWs) designed for
44 ISB absorption in the short-wave (SWIR, 1.4-3 μm) and mid-infrared (MIR, 3-5 μm) ranges,
45 and of nonpolar *m*-plane GaN/AlGaN MQWs, designed for ISB absorption in the far-
46 infrared (FIR, $>20 \mu\text{m}$) domain. We systematically compare identical structures doped with
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2. Methods

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3 The band structure and quantum-confined electron levels were modeled using the Nextnano3
4 8×8 k.p self-consistent Schrödinger-Poisson solver [36] with the material parameters
5 described in ref. [37]. The structure was treated in one dimension. The simulation covered a
6 section of the MQW superlattice including three QWs, with periodic boundary conditions.
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8 With this simulation cell, we can confirm that the periodicity of the structure is correctly
9 treated by checking that the results are identical in the three QWs.
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18 The MQW structures were synthesized by plasma-assisted molecular-beam epitaxy
19 (PAMBE). Thanks to its low growth temperature, this technique is particularly adequate to
20 the fabrication of heterostructures with chemically abrupt interfaces [38]. Furthermore, it
21 offers compatible growth conditions for the *c*-plane and the *m*-plane of GaN [39], and Si and
22 Ge can be incorporated as dopants during the growth process, without perturbation of the
23 growth kinetics [35,40]. For *c*-plane samples, the substrates were either 1- μm -thick AlN-on-
24 sapphire templates with a dislocation density $\approx 10^9 \text{ cm}^{-2}$ (GaN/AlN MQW structures) or 4-
25 μm -thick GaN-on-Si(111) templates with a dislocation density $< 5 \times 10^9 \text{ cm}^{-2}$ (GaN/AlGaIn
26 MQW structures), both deposited by metalorganic vapor phase epitaxy. For *m*-plane
27 samples, the substrates were 5 mm \times 20 mm semi-insulating *m*-GaN platelets sliced from
28 (0001)-oriented GaN boules synthesized by hydride vapor phase epitaxy
29 (resistivity $> 10^6 \Omega\text{cm}$, dislocation density $< 5 \times 10^6 \text{ cm}^{-2}$). In all cases, the growth was
30 performed under slightly Ga-rich conditions [24,25,39,41], at a substrate temperature of
31 720°C, and with a nitrogen-limited growth rate of 0.5 ML/s ($\approx 450 \text{ nm/h}$). A 200-nm-thick,
32 non-intentionally-doped GaN buffer layer was deposited prior to the MQW, which was
33 capped with 30-50 nm of AlGaIn using the same Al content as for the barriers. The GaN
34 wells were doped with either Si or Ge, at concentrations in the $5 \times 10^{11} \text{ cm}^{-2}$ to $6 \times 10^{13} \text{ cm}^{-2}$
35 range, as indicated in the list of samples in Table 1. The doping level was calibrated by Hall
36 effect measurements in reference *c*-plane GaN:Si and GaN:Ge layers grown on AlN-on-
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sapphire templates.

The surface morphology of the layers was studied by atomic force microscopy (AFM) in the tapping mode using a Dimension 3100 system. The periodicity and structural properties of the MQWs were measured by high-resolution x-ray diffraction (HR-XRD) using a Rigaku SmartLab x-ray diffractometer with a 4-bounce Ge(220) monochromator and a 0.114 degree collimator in front of the detector. Samples were analyzed by high-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) performed in an FEI Titan Themis microscope operated at 200 kV. Cathodoluminescence (CL) measurements at 10 K were performed in a Zeiss Ultra-55 field-emission scanning electron microscope fitted with a Gatan MonoCL4 system, using an acceleration voltage of 3 kV and a current of about 400 pA. The emission from the sample was collected by a parabolic mirror and guided into a grating monochromator equipped with a liquid-nitrogen-cooled charge-coupled device (CCD) camera. Spectral line-scans were recorded with an integration time of 1 s per spectrum. Photoluminescence (PL) spectra were obtained by excitation with a continuous-wave solid-state laser ($\lambda = 244$ nm), with an excitation power around 100 μ W focused on a spot with a diameter around 100 μ m. The emission from the sample was collected by a Jobin Yvon HR460 monochromator equipped with an ultraviolet-enhanced CCD camera. To assess the ISB properties of the MQWs, transmission measurements employing Fourier transform infrared (FTIR) spectroscopy were performed using a Bruker V70v spectrometer. For characterization in the SWIR and MIR ranges, the FTIR spectrometer was equipped with a halogen lamp and a nitrogen-cooled mercury–cadmium–telluride detector. All samples were polished at 45° (sapphire and *m*-GaN substrates) or at 30° (Si substrates) to form multipass waveguides allowing 4–5 interactions with the active region. For characterization in the FIR, we used a mercury lamp, a Si beam splitter and a helium-cooled Si bolometer. In the latter case, two pieces of each sample were placed face-to-face on the cold finger of a helium-

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3 cooled cryostat. All the samples were tested in transmission mode using a polarizer adapted
4 to the targeted infrared region to discern between the transverse-electric (TE) and transverse-
5 magnetic (TM) polarized light.
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10 11 12 13 14 **3. Experimental results**

15 16 17 **A. SWIR absorption in *c*-plane GaN/AlN MQWs**

18 We have studied a series of structures containing 25 periods of GaN/AlN (1.8 nm/3 nm)
19 MQWs designed to show ISB absorption at 0.729 eV (1.70 μm) for low doping levels
20 (samples S11 to S16 in Table 1). Figure 1 shows a sketch of the structure and the conduction
21 band profile of one of the QWs, including the location of the first and second quantum-
22 confined electron levels and their associated squared wavefunctions. Note that the potential
23 profile of the MQW displays the triangular pattern characteristic of GaN/AlN
24 heterostructures, due to the internal electric field associated to the difference in spontaneous
25 and piezoelectric polarization between the wells and the barriers. The electric field results in
26 a redshift of the band-to-band transitions (quantum confined Stark effect) and a blueshift of
27 the ISB transitions with respect to square MQWs [24]. Additionally, the fact that the gravity
28 centers of the wavefunctions associated to the first hole level and the first and second
29 electron confined levels are shifted along the growth axis leads to a decrease of the dipole
30 matrix elements associated to both of the band-to-band and ISB transitions. Growth along
31 nonpolar crystallographic orientations, such as the $\langle 1-100 \rangle$ *m* axis, inhibits the appearance
32 of internal electric field, with the resulting enhancement of the dipole matrix elements.
33 However, the lattice mismatch in the nonpolar planes is larger, which leads to a high density
34 of dislocations, cracks and stacking faults when the average Al concentration in the structure
35 exceeds $\approx 10\%$ [42]. Therefore, in architectures for ISB optoelectronics, the use of nonpolar
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crystallographic orientations is restricted to applications in the FIR.

After growth, the periodicity of the structure was confirmed by measuring ω - 2θ scans of the (0002) x-ray reflection. Typical diffractograms for Ge-doped and Si-doped structures are presented in Fig. 1(c), together with a simulation performed with the Rigaku SmartLab Studio software. The simulation provides a good reproduction of the experimental result assuming that the MQW presents the in-plane lattice parameters of an AlGaIn layer with the average Al concentration of the MQW, i.e. the MQW structure is fully relaxed. This is expected to be the case in view of the thickness of the MQW, following the study in ref. [43]. The values of the MQW period extracted from the intersatellite angular distance in the diffraction pattern are summarized in Table 1. Also, the full width at half maximum (FWHM) of the rocking curves was measured for the AlN substrate and the MQW zero-order reflection [MQW 0 peak in Fig. 1(c)], obtaining an average FWHM of $0.19 \pm 0.01^\circ$ for Si-doped MQWs and $0.16 \pm 0.01^\circ$ for Ge-doped MQWs, to be compared with $0.057 \pm 0.006^\circ$ for the AlN-on-sapphire template. The broadening of the MQW rocking curve in comparison with the substrate is due to strain relaxation. On the other hand, Ge-doped MQWs systematically present $\approx 18\%$ narrower rocking curves than their Si-doped counterparts for all the doping levels. This correlation indicates that, for similar substrate quality, Ge-doping results in structures with better mosaicity than when using Si-doping.

To assess the band-to-band properties of the MQWs, the PL spectra of all the samples were measured at 5 K. The results in terms of peak emission energy are shown in Table 1, and the spectra are displayed in Figs. 2(a) and (b). Both for Ge- and Si-doping, the spectra present a multippeak structure resulting from monolayer fluctuations of the well thickness [8]. Increasing the dopant density induces a blueshift of the PL energy, which is assigned to the screening of the internal electric field by the free carriers [44], as well as a broadening of the emission peak, which is due to the Burstein-Moss effect [45]. The total shift in emission

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3 energy and the energy broadening are similar for both dopants. Calculated values of the
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5 band-to-band transition energy obtained using the nextnano3 software are indicated by black
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7 triangles in the figure. These calculations, which take into account the sample period and the
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9 screening of the internal electric fields by free carriers, provide a reasonable fit to the
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11 experimental results. The agreement confirms the incorporation and activation of both Si and
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13 Ge in the QWs.
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17 The vertical homogeneity of the MQW stack has been studied by low-temperature
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19 (10 K) CL, recording a spectral line-scan on a cross-section of sample S16 cleaved along the
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21 growth direction. Figure 3 displays the obtained spectral map, along with a sketch of the
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23 sample. Following the growth axis, the MQW emission broadens, redshifts and gains
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25 intensity, which is explained by the gradual relaxation of misfit strain induced by the AlN
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27 substrate, which can extend over 10-20 MQW periods [43]. Theoretical calculations predict a
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29 redshift of 11 nm when the superlattice evolves from being fully strained on AlN to fully
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31 relaxed.
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35 To assess the ISB properties of the samples, the absorption in the SWIR range was
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37 measured at room temperature by FTIR spectroscopy. Figures 2(c) and (d) show the
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39 normalized absorption spectra for TM-polarized light. As explained in the PL case, the
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41 multipeak structure of the spectra is due to monolayer thickness fluctuations in the wells [8].
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43 The ISB absorption peak energies for the various samples are presented in Table 1, together
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45 with the magnitude of the absorption per pass. The value of absorption should be taken
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47 cautiously, since the accumulated error associated to the calculation of the waveguide length
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49 and incident angle can reach $\pm 20\%$. However, comparing S11-12 and S13-14, we can
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51 conclude the magnitude of the absorption scales linearly with the doping density, as
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53 expected, and a saturation is observed for S15-16, which is explained by the Fermi level
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55 approaching the excited state e_2 in these heavily doped structures. As expected, the
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3 absorption resonances are blueshifted when increasing the doping concentration, which is
4 due to many-body effects, namely exchange interaction and depolarization shift. The
5 magnitude of these effects has been quantified as described in ref. [46], giving the theoretical
6 transition energies indicated by black triangles in Fig. 2. In general, the magnitude of the
7 spectral shift agrees well with the theoretical expectations for both Si and Ge. Regarding the
8 absorption linewidth, we observe that Si-doping leads to stronger broadening of the
9 absorption peak, as summarized in Fig. 2(e). Thus, in this case, broadening is not dominated
10 by the scattering by ionized impurities or electron-electron interaction, but rather by
11 interface roughness.

24 25 **B. MIR absorption in *c*-plane GaN/AlGaN MQWs**

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27 To extend the study to the MIR range, the AlN barriers were replaced by AlGaN, and the
28 width of the QWs was increased. Therefore, this study focuses on structures consisting of 30
29 periods of GaN/Al_{0.33}Ga_{0.67}N (4 nm/3 nm) MQWs, designed to show ISB absorption at
30 240 meV (5.2 μm) at low doping levels (samples S21 to S26 in Table 1). A 2-nm-thick
31 region at the center of the GaN QWs was homogeneously doped with Si or Ge, to reach the
32 surface dopant densities described in Table 1. Figure 4 shows a sketch of the structure as
33 well as the conduction band diagram of one of the QWs in the middle of the stack. Typical
34 HR-XRD ω - 2θ scans of the (0002) reflection for these samples are shown in Fig. 4(c). The
35 diffractograms are complex due to the presence of an additional multiple-heterostructure
36 close to the silicon/GaN interface with a period of ≈ 20 nm. The purpose of this structure is
37 to maintain the GaN under compressive strain during the cool down process after growth,
38 thus preventing crack propagation. The simulation presented in the figure does not take into
39 account the buffer layer, and assumes that the MQW presents the in-plane lattice parameters
40 of an AlGaN layer with the average Al concentration of the MQW, as it is expected [47]. By
41 comparison of the experimental diffractograms with the simulation, it is possible to identify
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3 the satellites corresponding to the PAMBE-grown GaN/AlN MQW structure and determine
4 its period. The results are summarized in Table 1. Additionally, the structural quality was
5 evaluated by measuring the FWHM of the rocking curves for the GaN template and the
6 MQW zero-order reflection. The resulting data, also listed in Table 1, yields average values
7 of $0.189 \pm 0.005^\circ$ and $0.187 \pm 0.005^\circ$ for Si-doped and Ge-doped MQWs, respectively, to be
8 compared with $0.208 \pm 0.005^\circ$ for the GaN-on-Si(111) templates. We can hence conclude
9 that the mosaicity of the samples shows no clear dependence on either the dopant nature or
10 the doping density, and is rather determined by the substrate.
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22 Concerning the band-to-band properties of the MQWs, the low temperature (5 K) PL
23 spectra from samples with different doping levels of Ge and Si are presented in Figs. 5(a)
24 and (b), respectively. Increasing the Ge-doping density results in a slight blueshift of the PL
25 energy, as well as an asymmetric widening of the PL peak towards the low-energy side. The
26 magnitude of the spectral shift, associated with the screening of the internal electric field, is
27 significantly smaller than in the samples S11-S16 described in the previous section, in spite
28 of the fact that the QWs are larger (4 nm in S21-S26 to be compared with 2 nm in S11-S16).
29 This reduced shift is mainly due to the reduction of the internal electric fields when using
30 $\text{Al}_{0.33}\text{Ga}_{0.67}\text{N}$ barriers instead of AlN. Looking at the PL spectra in Figs. 5(a-b), the emission
31 from S25 appears surprisingly narrow for a sample with such a high doping level. A closer
32 look at the spectrum reveals that the main emission consists of two peaks: a dominant
33 “narrow” line at 3.46 eV and a broader emission at higher energies, around 3.51 eV, with
34 similar characteristics (in logarithmic scale, not shown) than the emission from S26.
35 Comparing the two samples, the emission at 3.46 eV in S25 is probably due to localized
36 emission at structural inhomogeneities, but we did not manage to identify such defects in
37 transmission electron microscopy images.
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To assess the homogeneity of the MQW stack along the growth axis, a spectral line-scan

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3 of the low-temperature (10 K) CL was recorded on a cross-section of the sample with the
4 highest Ge doping level (S26) cleaved along the growth direction (Fig. 6). In agreement with
5 the PL experiments in Fig. 5(a), the emission from the MQW, peaking at 355 nm (3.49 eV),
6 is strongly asymmetric. Its intensity increases along the growth axis, but the spectral shift is
7 reduced in comparison with the GaN/AlN MQWs (see Fig. 3), which is consistent with the
8 smaller lattice mismatch with the substrate. The luminescence from the AlGaIn capping layer
9 is visible as an extra emission peak centered around 327 nm (3.79 eV). There is no
10 detectable emission from the AlGaIn barriers, which points to a good charge transfer from
11 the barriers to the wells.
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25 The ISB properties of the MQWs were measured by FTIR at room temperature. As
26 summarized in Table 1 and illustrated in Figs. 5(c) and (d), all samples present a TM-
27 polarized absorption peak, which blueshifts for increasing doping levels. As in the SWIR set,
28 the absorption scales sublinearly with the doping density, which is justified by the high
29 doping levels that bring the Fermi level close to e_2 , or even beyond. The spectral location of
30 the absorption resonance fits well with theoretical calculations of the ISB transition taking
31 the exchange interaction and depolarization shift into account (indicated with black triangles
32 in the figures). When increasing the dopant concentration, the absorption peak broadens
33 significantly. As discussed for GaN/AlN MQWs, the fact that the broadening is more
34 pronounced for the Si-doped samples [see Fig. 5(e)] points to an increase of the interface
35 roughness or the density of structural defects, although no clear evidence of these facts were
36 identified in high-resolution transmission electron microscopy measurements.
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53 **C. FIR absorption in *m*-plane GaN/AlGaIn MQWs**

54 The design of *c*-plane GaN/AlGaIn MQWs displaying ISB transitions in the FIR is hindered
55 by the polarization-induced internal electric field, which leads to an increased carrier
56 confinement in the QWs. Thus, nonpolar crystallographic orientations are the most
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3 promising choice for the development of a GaN-based ISB technology in the FIR range, and
4 we have decided to focus on the m plane to study the effect of Ge-doping. The 40-period m -
5 plane GaN/Al_{0.075}Ga_{0.925}N (10 nm/18.5 nm) MQWs presented here are reproduced from a
6 previously-reported Si-doped series [29], designed so that, for low doping densities, two
7 electron levels are clearly confined in the QWs, e_1 and e_2 , separated by ≈ 30 meV (41 μ m,
8 7.3 THz), and the third electronic level, e_3 , is located almost at the conduction band edge of
9 the barriers. In this case, the GaN wells were homogeneously doped with Ge, at
10 concentrations increasing from 5×10^{11} cm⁻² to 5×10^{12} cm⁻², as described in Table 1. Figures
11 7(a) and (b) show the schematic description of the samples and the conduction band diagram
12 of one of the QWs in the middle of the stack, indicating the energy of e_1 , e_2 , and e_3 and the
13 associated squared wavefunctions. Figure 7(c) shows the HR-XRD ω - 2θ scan of the (3-300)
14 reflection of sample S33, containing the most heavily doped MQWs. The thicknesses of the
15 MQW periods for all the samples of the series extracted from the intersatellite distance of
16 such ω - 2θ scans are listed in Table 1, together with the FWHM of the rocking curves for the
17 bulk GaN substrate and the MQW zero-order reflection ($0.011 \pm 0.001^\circ$ and $0.013 \pm 0.001^\circ$,
18 respectively). The values of the FWHM are very similar for the substrate and for the MQW,
19 in agreement with the Si-doped series [29]. In view of these results, we conclude that neither
20 the dopant nature nor the doping density modify the MQW mosaicity, which is rather
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49 As an additional evaluation of the structural quality, we recorded AFM images of the
50 samples, as illustrated in Fig. 8(a). The surfaces are characterized by atomic terraces, with a
51 root-mean-square (rms) roughness < 0.3 nm in $5 \times 5 \mu\text{m}^2$ scans. Sample S32 was also
52 characterized by HAADF-STEM, as shown in Figs. 8(b) and (c), where layers with dark and
53 bright contrast correspond to the AlGaN barriers and GaN QWs, respectively. Cross-section
54 images of the stack do not show any extended defects (neither dislocations nor stacking
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3 faults) over an in-plane length of $\approx 2 \mu\text{m}$. Figure 8(c) shows two QWs in the middle of the
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5 sample viewed along the $\langle 0001 \rangle$ zone axis. In the barriers, slight alloy inhomogeneities
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7 appear in the form of darker lines parallel to the QW interface, as observed in similar Si-
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9 doped structures [25].
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13 The low-temperature (5 K) PL of the nonpolar MQWs is displayed in Fig. 9(a). The
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15 spectra consist of a main emission peak at 3.48 eV originating from the MQW and a weaker
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17 emission about 100 meV higher in energy that is assigned to the $\text{Al}_{0.075}\text{Ga}_{0.925}\text{N}$ cap layer.
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19 The effect of the doping density on the emission energy and broadening is negligible in the
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21 range under study. This is due to the fact that, first, in nonpolar QWs there is no electric field
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23 to be screened by carriers, and second, the surface dopant densities described in Table 1
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25 should not have a large effect on the band-to-band transition (the shift due to band filling is
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27 $< 60 \text{ meV}$, and it is partially compensated by bandgap renormalization [48]). The analysis of
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29 the CL spectral line-scan on the cross-section of the sample, presented in Fig. 10, confirms
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31 that the $\text{Al}_{0.075}\text{Ga}_{0.925}\text{N}$ emission originates from the cap layer and not from the barriers,
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33 where the generated carriers are fully transferred to the QWs. The emission from the QWs is
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35 homogeneous in linewidth and intensity all along the structure, as it was also the case for Si-
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37 doped QWs with the same structure and similar dopant density [29].
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44 The ISB properties of the structures were assessed by FTIR, and compared to the data
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46 obtained in ref. [29] from Si-doped MQWs. In Fig. 9(b), we show the normalized absorption
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48 spectra for TM-polarized light from the three Ge-doped samples (peak energies are
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50 summarized in Table 1), and the normalized broadening of both the Si-doped and the Ge-
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52 doped series is compared in Fig. 9(c). The absorption spectra do not vary significantly with
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54 increasing Ge doping levels. This behavior is unexpected since in sample S32 the Fermi
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56 level should already reach the second electron subband, as confirmed by the saturation of the
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58 ISB absorption per pas in Table 1, which should also lead to enhanced scattering. For
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3 equivalent doping densities, the Ge-doped structures show ISB absorption peaks with
4 slightly smaller broadening than that of the Si-doped structures. When increasing the Ge-
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6 slightly smaller broadening than that of the Si-doped structures. When increasing the Ge-
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8 doping density, we observe a slight widening of the ISB absorption peak and no shift of the
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10 ISB energy.
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12 13 14 15 16 **4. Discussion and conclusions** 17

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19 In summary, the structural and optical properties of Ge-doped GaN/AlGa_N MQWs designed
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21 to display ISB absorption in the SWIR, MIR and FIR ranges have been characterized and
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23 compared with similar structures doped with Si. For this purpose, we have grown 25-period
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25 *c*-plane GaN/AlN (1.8 nm/3 nm) MQWs designed to show ISB absorption at 1.70 μm
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27 (0.729 eV), 30-period *c*-plane GaN/Al_{0.33}Ga_{0.67}N (4 nm/3 nm) MQWs designed to display
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29 ISB absorption at 5.2 μm (240 meV), and 40-period *m*-plane GaN/Al_{0.075}Ga_{0.925}N
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31 (10 nm/18.5 nm) MQWs designed to show ISB absorption at 41 μm (30 meV).
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35 The results presented above confirm the feasibility of using Ge instead of Si in ISB
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37 optoelectronic devices consisting of GaN/AlN or GaN/AlGa_N heterostructures. However, we
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39 can debate on the relevance of using one or the other dopant. For this comparison, it must be
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41 kept in mind that Si and Ge have approximately the same activation energy in GaN [49], and
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43 none of them perturbs the growth kinetics of GaN when using PAMBE [35,40]. However, Si
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45 is known to introduce a strong local deformation of the GaN lattice [50] as well as an
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47 enhancement of the interface roughness in GaN/AlGa_N QWs [31]. Looking at our results,
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49 Si-doped and Ge-doped GaN/AlGa_N MQWs are structurally similar both in *c*-plane and *m*-
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51 plane crystallographic orientations, with the morphology and mosaicity being determined
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53 rather by the substrate and not by the dopant nature or density (in the range under
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55 consideration). Only in strongly lattice-mismatched MQWs (GaN/AlN), which exhibits a
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57 clear structural degradation with respect to the substrate, Ge results in a systematic structural
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3 improvement.
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7 If we turn to the optical properties, the evolution of the band-to-band behavior as a
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9 function of doping reflects the screening of the internal electric field by free carriers, which
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11 is independent of the nature of the dopant. The ISB processes, on the other hand, are more
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13 sensitive to parameters like the roughness of the heterointerfaces. Here, results for low
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15 doping levels are comparable for MQWs doped with Si or Ge. However, for high doping
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17 levels, there is a systematic improvement when using Ge as a dopant, which manifests in
18
19 narrower absorption bands independent of the spectral region, and thus for different QW
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21 size, barrier composition and crystallographic orientations.
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Tables

Table 1. Structural and optical characteristics of the GaN/AlGaN MQWs under study: dopant nature; surface dopant density; thickness of the MQW period extracted from HR-XRD; FWHM of the ω -scan of the (0002) and (3 $\bar{3}$ 00) x-ray reflections for the *c*-plane and *m*-plane samples, respectively, of the MQWs and of the AlN or GaN substrate; peak energy of the MQW PL emission at $T = 5$ K; peak energy of the ISB absorption; FWHM of the ISB absorption peak; ISB absorption per pass.

Sample	Dopant nature	Doping concentration ($\times 10^{12}$ cm $^{-2}$)	Period thickness (nm)	ω -scan FWHM MQW ($^{\circ}$)	ω -scan FWHM substrate ($^{\circ}$)	PL peak position (eV)	ISB absorption energy (meV)	ISB absorption FWHM (meV)	ISB absorption per pass (%)
S11	Si	6	4.8 \pm 0.1	0.199	0.056	3.37	710	85	2.1
S12	Ge	6	4.8 \pm 0.1	0.167	0.046	3.35	720	94	1.8
S13	Si	20	4.8 \pm 0.1	0.180	0.061	3.45	830	108	8.9
S14	Ge	20	4.8 \pm 0.1	0.154	0.058	3.46	760	90	6.6
S15	Si	60	4.4 \pm 0.1	0.176	0.057	3.51	860	189	9.5
S16	Ge	60	4.3 \pm 0.1	0.149	0.063	3.63	820	110	8.6
S21	Si	2	7.0 \pm 0.1	0.190	0.212	3.41	249	45	4.7
S22	Ge	2	7.0 \pm 0.1	0.188	0.209	3.41	272	59	4.1
S23	Si	10	7.0 \pm 0.1	0.187	0.210	3.42	286	80	5.8
S24	Ge	10	7.0 \pm 0.1	0.185	0.210	3.41	276	77	7.4
S25	Si	20	6.9 \pm 0.1	0.189	0.206	3.43	280	140	15
S26	Ge	20	6.9 \pm 0.1	0.187	0.204	3.49	273	90	16
S31	Ge	0.5	27.5 \pm 0.1	0.014	0.012	3.48	25	12	19
S32	Ge	2	28.2 \pm 0.1	0.013	0.010	3.48	24	13	19
S33	Ge	5	29.3 \pm 0.1	0.013	0.011	3.48	24	15	13

Figure captions

Figure 1. (a) Schematic of the GaN/AlN MQW structures. (b) Band diagram of one of the QWs and location of the first (e_1) and second (e_2) electron levels obtained using a self-consistent 8-band k.p Schrödinger-Poisson solver. (c) HR-XRD ω - 2θ scan of the (0002) reflection of Si- and Ge-doped heterostructures (S15 and S16, respectively). Experimental data are compared to a simulation which assumes that the MQWs present the in-plane lattice parameters of an AlGa_N layer with the average Al concentration of the MQW.

Figure 2. Low temperature ($T = 5$ K) PL intensity of the (a) Ge-doped and (b) Si-doped GaN/AlN MQWs. The spectra are normalized by their maximum value and vertically shifted for clarity. Black triangles mark the transition energies calculated using a self-consistent 8-band k.p Schrödinger-Poisson solver that takes into account the screening of the internal electric field by free carriers. Normalized TM-polarized ISB absorption of the (c) Ge-doped and (d) Si-doped MQWs measured by FTIR spectroscopy. The spectra are normalized by their maximum value and vertically shifted for clarity. Black triangles mark the transition energies calculated using a self-consistent 8-band k.p Schrödinger-Poisson solver and corrected to account for the exchange interaction and depolarization shift. (e) Normalized broadening ($\Delta E/E$) of the ISB absorption peak as a function of the doping density (N_s).

Figure 3. CL spectral line-scan on the cross-section of sample S16 measured at $T = 10$ K. The intensity is color-coded on a logarithmic scale. The sketch on the right side shows the corresponding sequence of layers.

Figure 4. (a) Schematic of the GaN/Al_{0.33}Ga_{0.67}N MQW structures. (b) Band diagram of one of the QWs and location of the first (e_1) and second (e_2) electron levels obtained using a self-consistent 8-band k.p Schrödinger-Poisson solver. (c) HR-XRD ω - 2θ scan of the (0002)

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3 reflection of Si- and Ge-doped heterostructures (S25 and S26, respectively). Experimental
4 data are compared to a simulation which assumes that the MQWs present the in-plane lattice
5 parameters of an AlGa_N layer with the average Al concentration of the MQW. The
6 simulation does not take into account the buffer layer of the GaN-on-Si(111) template. This
7 buffer contains a periodic GaN/AlGa_N heterostructure (period ≈ 20 nm).
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16 **Figure 5.** Normalized PL intensity of the (a) Ge-doped and (b) Si-doped GaN/Al_{0.33}Ga_{0.67}N
17 MQWs measured at $T = 5$ K. The spectra are normalized by their maximum value and
18 vertically shifted for clarity. Black triangles mark the transition energies calculated using a
19 self-consistent 8-band k.p Schrödinger-Poisson solver that takes into account the screening
20 of the internal electric field by free carriers. Normalized TM-polarized ISB absorption of the
21 (c) Ge-doped and (d) Si-doped MQWs measured by FTIR spectroscopy. The spectra are
22 normalized by their maximum value and vertically shifted for clarity. Black triangles mark
23 the transition energies calculated using a self-consistent 8-band k.p Schrödinger-Poisson
24 solver and corrected to account for the exchange interaction and depolarization shift. (e)
25 Normalized broadening ($\Delta E/E$) of the ISB absorption peak as a function of the doping
26 density (N_s).
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43 **Figure 6.** CL spectral line-scan on the cross-section of sample S26 measured at $T = 10$ K.
44 The intensity is color-coded on a logarithmic scale. The sketch on the right side shows the
45 corresponding sequence of layers.
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51 **Figure 7.** (a) Schematic of the m -plane GaN/Al_{0.075}Ga_{0.925}N MQW structures. (b) Band
52 diagram of one of the QWs and location of the first (e_1), second (e_2) and third (e_3) electron
53 levels obtained using a self-consistent 8-band k.p Schrödinger-Poisson solver. (c) HR-XRD
54 ω -2 θ scan of the (3-300) reflection of S33. Experimental data are compared to a simulation
55 which assumes that the MQWs are fully strained on GaN.
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3 **Figure 8.** (a) AFM image ($5 \times 5 \mu\text{m}^2$) of sample S31 showing an rms surface roughness of
4 0.28 nm. (b)-(c) Cross-section HAADF-STEM images of sample S32 viewed along $\langle 0001 \rangle$.
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6 Layers with dark and bright contrast correspond to the AlGaIn barriers and GaN QWs,
7
8 respectively.
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13 **Figure 9.** (a) Low temperature ($T = 5$ K) PL intensity of the Ge-doped m -plane MQWs. The
14 spectra are normalized by their maximum value and vertically shifted for clarity. (b)
15 Normalized absorption for TM-polarized light measured at 5 K by FTIR spectroscopy. (c)
16 Normalized broadening ($\Delta E/E$) of the ISB absorption peak as a function of the doping
17 density (N_s). The triangular data points correspond to the Ge-doped MQWs under study,
18
19 whereas the square data points correspond to similar Si-doped MQWs from ref. [29].
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29 **Figure 10.** CL spectral line-scan on the cross-section of sample S33 measured at $T = 10$ K.
30 The intensity is color-coded on a logarithmic scale. The sketch on the right side shows the
31 corresponding sequence of layers.
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Figure 1

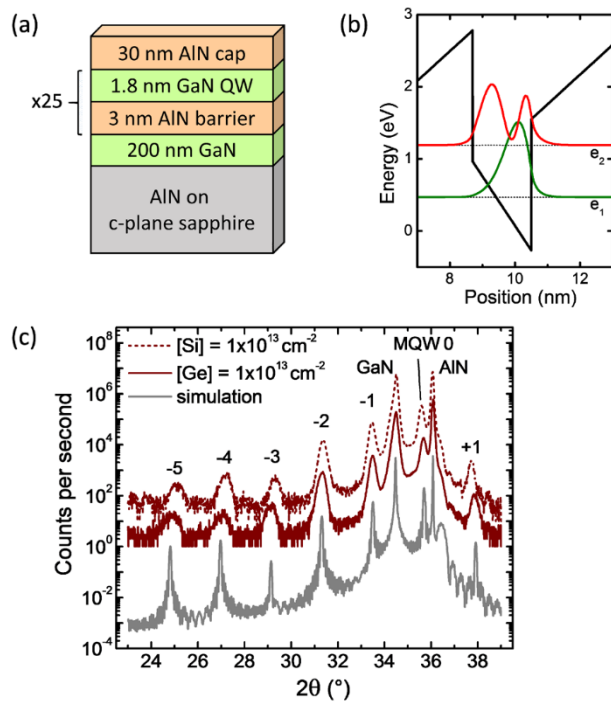


Figure 2

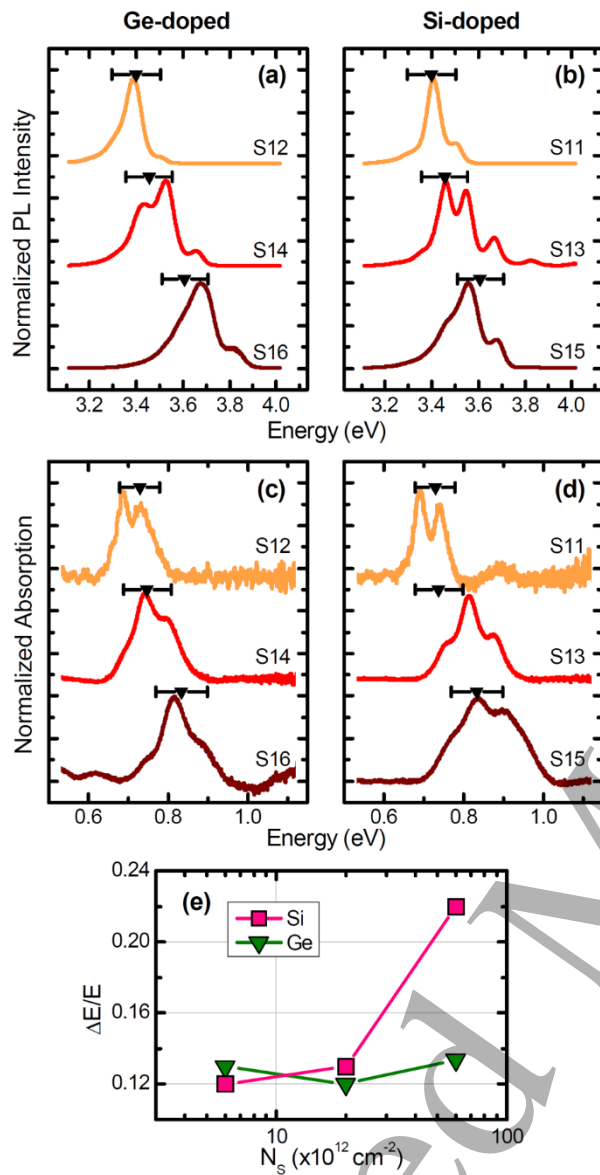


Figure 3

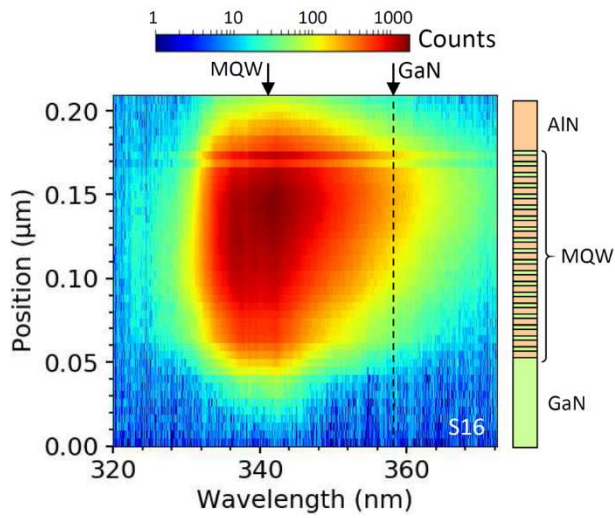


Figure 4

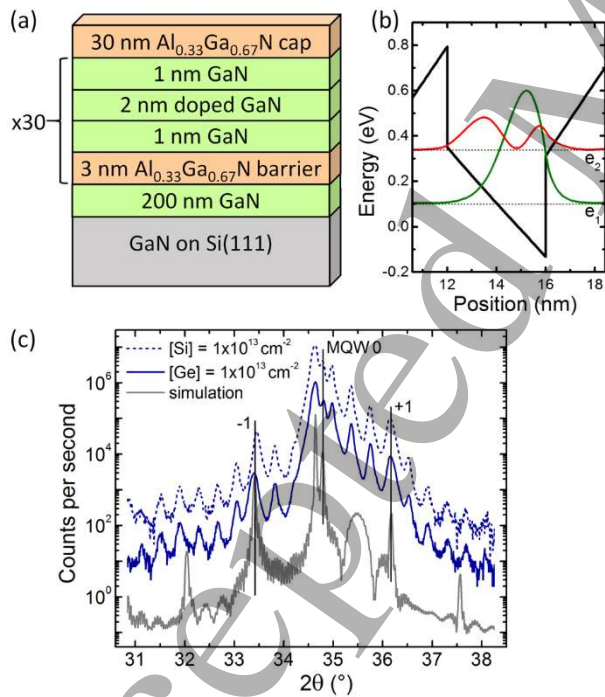


Figure 5

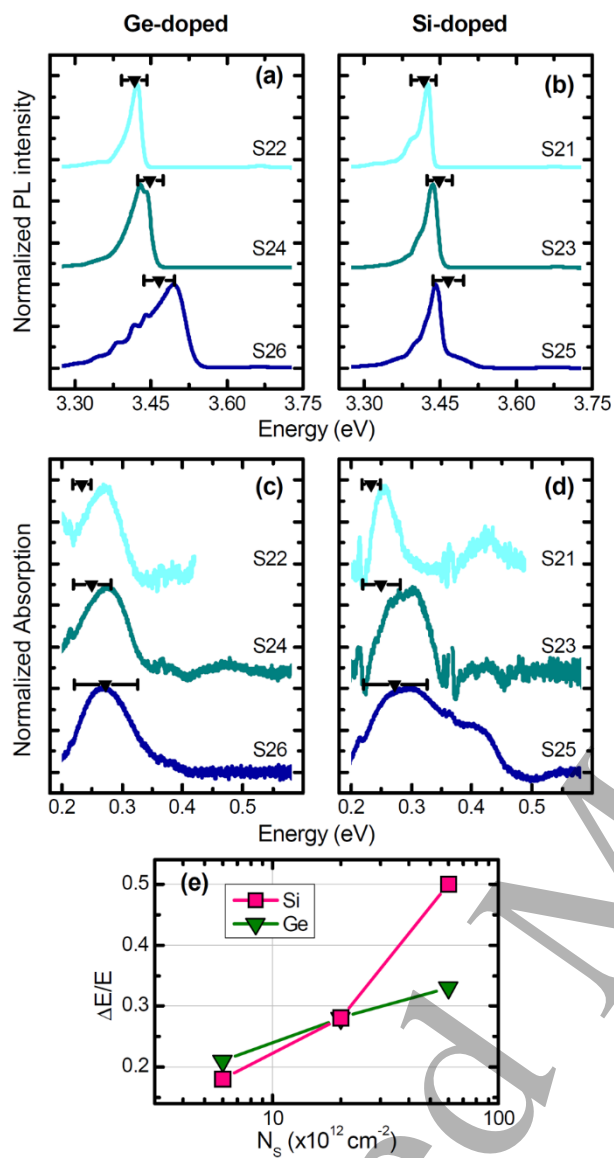


Figure 6

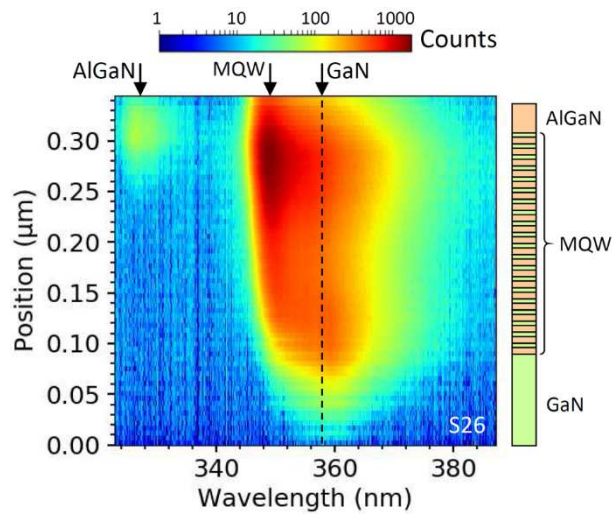


Figure 7

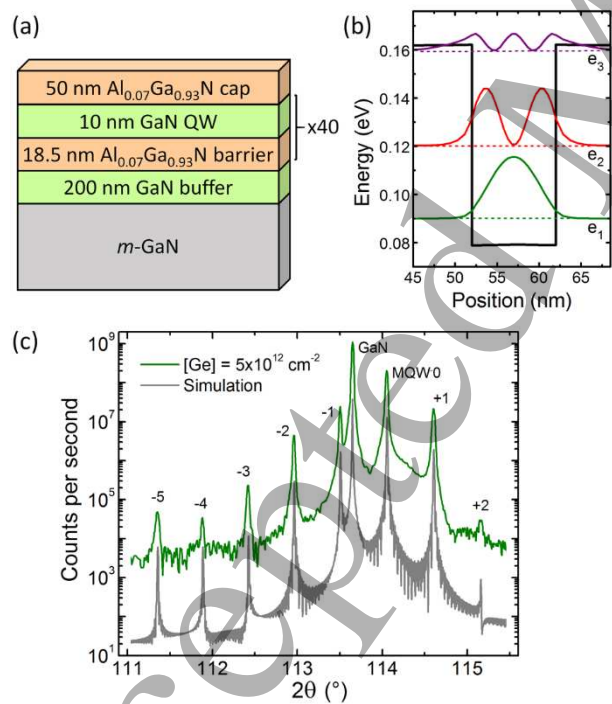


Figure 8

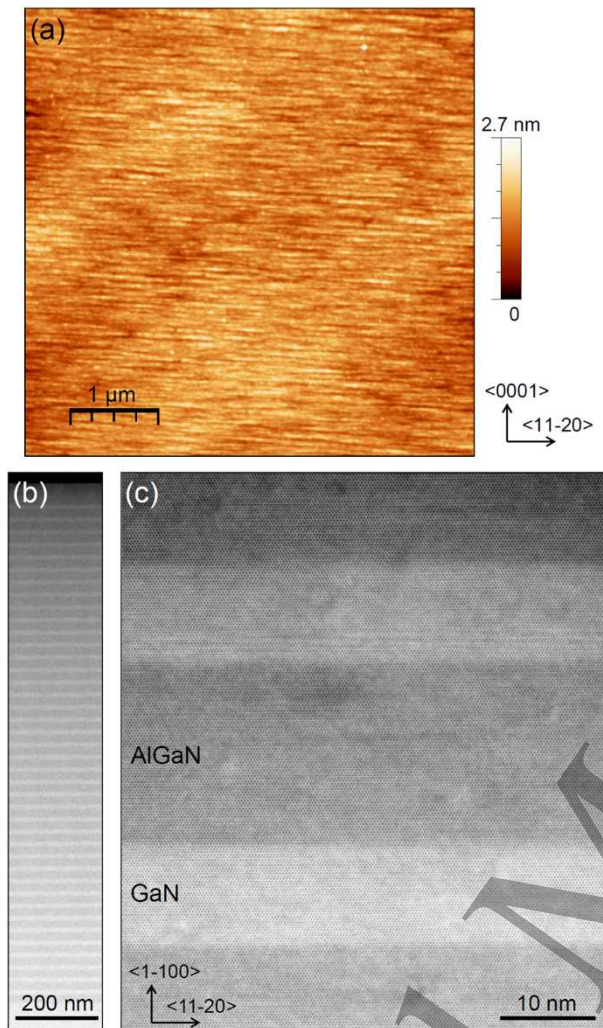


Figure 9

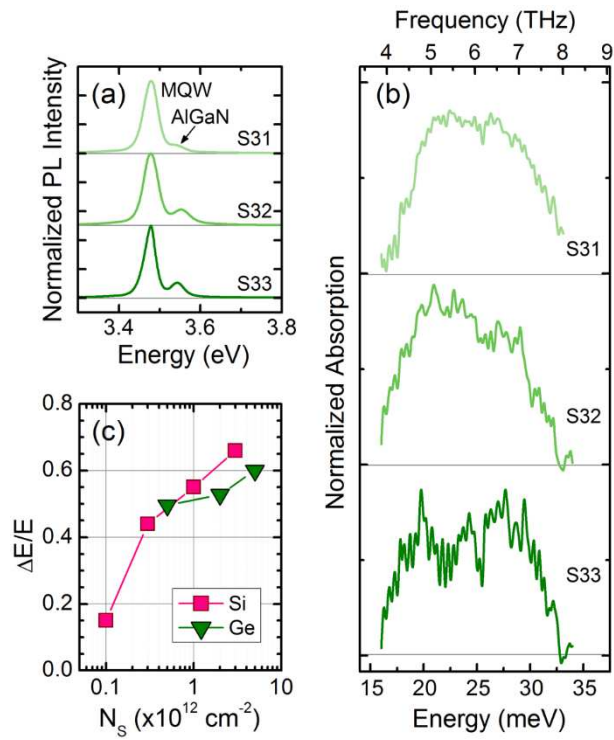


Figure 10

